SERIAL NO. ATTORNEY DOCKET NO. FORM PTO-1449 (MODIFIED) 10671165 UK02-012 LIST OF PATENTS AND **PUBLICATIONS** FOR APPLICANTS INFORMATION DISCLOSURE STATEMENT APPLICANT Michele Belmonte, et al. GROUP: 2883 FILING DATE 9 U.S. PATENT DOCUMENTS REFERENCE DESIGNATION Filing Date if Approp. Sub-Date Name Class Document Number Examiner Class Initial 1/10/89 Djupsjobacka 332 7.51 4,797,641 ÂĀ 350 96.14 4/9/91 Schaffner, et al 5,005,932 AB m m 385 3 1/11/94 Schaffner AC 5.278.924 AD AE AF AG AH AI AJ AK FOREIGN PATENT DOCUMENTS Class Sub-Translation Document Number Date Country Class Yes No AL AM AN ÃO AP AO OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.) "First-order quasi-phase matched LINbO, waveguide periodically poled by applying an external field for AR efficient blue second-harmonic generation" M. Yamada, et al Applied Physics Letters 62 (5) 1 Feb 1993 "A Review of Lithium Niobate Modulators for Fiber-Optic Communications Systems" E. L. Wooten, et al IEEE Journal of Selected Topics in Quantum Electronics Vol. 6, No. 1, January/February 2000 pages "Modeling and Optimization of Traveling-Wave LiNbO3 Interferometric Modulators" H. Chung, et al AT IEEE Journal of Quantum Electronics, Vo. 27, No. 3, March 1991 pages 608 - 617 "Wide-bandwidth high-frequency electro-optic modulator based on periodically poled LiNbO3" Y. Lu, et AU al Applied physics Letters, Vol. 78, Number 8 19 Feb. 2001, pages 1035 - 1037

EXAMINER: DATE CONSIDERED: 10/17/05

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

IEEE Photonics Technology Letters, Vo. 9, No. 5, May 1997

"Novel Type of Baseband Phase-Reversal Electrode For Optical Modulators with Linear Phase Response" A. Djupsjobacka Electronics Letters, 26 1990 1 March, No. 5 pages 318 – 320

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Information Disclosure Statement-PTO-1449 (Modified)

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